



**Suprachoroidal Delivery of Investigational
ABBV-RGX-314 for Diabetic Retinopathy:
The Phase II ALTITUDE[®] Study**

Dilsher S. Dhoot, MD
ASRS
01 August 2023

Disclosures

Consultant: Alimera, Allergan, Apellis, Coherus, Eyepoint, Genentech, Novartis, Regeneron, Ocular Therapeutics, Oxular, REGENXBIO, Iveric

Ownership (Stock Options): Vortex Surgical, Outlook Therapeutics

Diabetic Retinopathy is a Global Public Health Problem



Diabetic Retinopathy (DR) is the Leading Cause of Blindness Among Working-Age Adults Globally^a

- **Over 25 million patients are affected with DR in the US, Europe and Japan, including 10 million in the US alone**



Chronic, frequent treatment with anti-VEGF agents has been shown to improve DR severity and reduce risk of progression to vision threatening complications (VTCs) by > 70%^b

- **Q8 weeks EYLEA[®] (aflibercept) and Q4 weeks LUCENTIS[®] (ranibizumab) are FDA approved for the treatment of DR without VTCs^c**



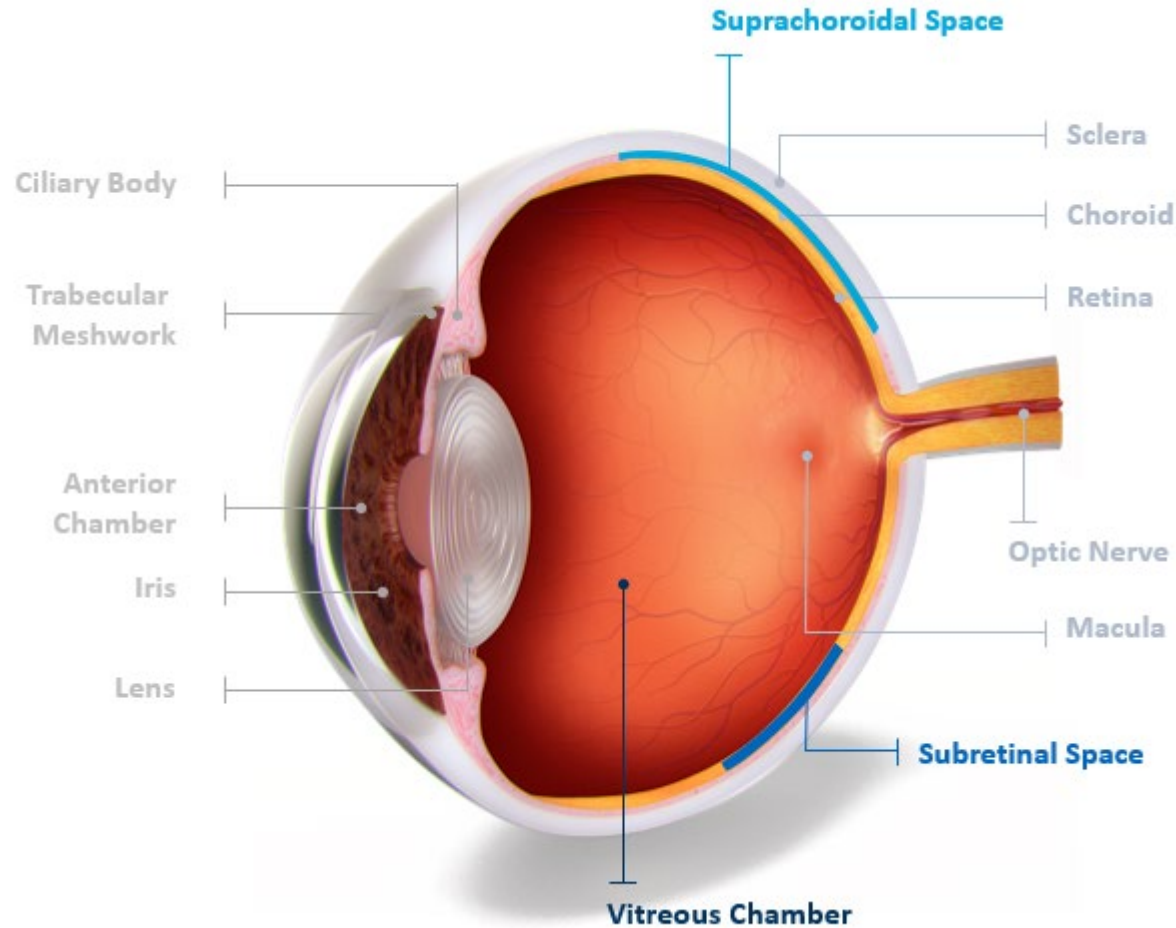
Majority of DR patients without VTCs are not treated with anti-VEGF in the real world due to the unsustainable treatment burden of frequent injections in the eye^d



One time, in-office injection of gene therapy could potentially provide long-lasting improvement in DR severity and reduce risk of vision threatening complications

Ocular gene therapy delivery methods

Comparative profiles



¹Ding, K., et al. 2019 *Journal of Clinical Investigation*, ²Vandenberghe et al. 2011 *Science Translational Medicine*, ³Maclaren et al. 2016 *Lancet*, ⁴Yin L, et al. 2011 *IOVS*, ⁵Bennett, J., et al., 2017 *Human Gene Therapy*, ⁶Heier JS, et al. 2016 *Lancet*, ⁷Bouquet C, et al. 2019 *JAMA Ophthalmology*

Delivery Space Considerations

Suprachoroidal Space (SCS)¹



- Targeted access and broad transduction of the retinal cells observed in preclinical studies
- Compartmentalized AAV delivery
- Minimal exposure to the vitreous and anterior segment

Subretinal Space^{2,3}



- Targeted access and broad transduction of the retinal cells observed in preclinical studies
- Compartmentalized AAV delivery
- Minimal exposure to the vitreous and anterior segment
 - Low risk of immune response
 - Low risk of inflammation

Vitreous Chamber



- Inner limiting membrane (ILM) presents physical barrier, potentially limiting direct transduction of the retina³
 - Limited transduction of the retina observed in preclinical studies⁴
- Broad exposure to the vitreous and anterior segment
 - High risk of immune response^{5,6}
 - High risk of inflammation⁷

Investigational ABBV-RGX-314 for the Treatment of Diabetic Retinopathy (DR)

ABBV-RGX-314 PRODUCT CANDIDATE



Vector: AAV8



Gene: anti-VEGF fab

Route of administration:
Suprachoroidal



Mechanism of action:

Reducing leaky blood vessel formation by giving ocular cells the ability to produce an anti-VEGF fab



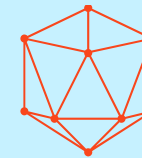
Improved AAV vector technology

+

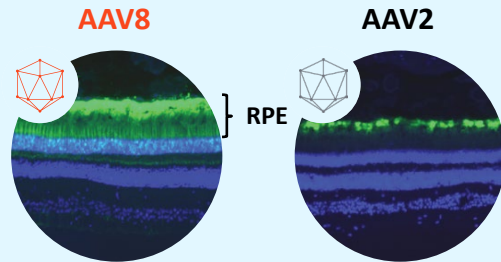


Leveraging current standard of care in transgene

=



ABBV-RGX-314:
AAV8 encoding anti-VEGF fab

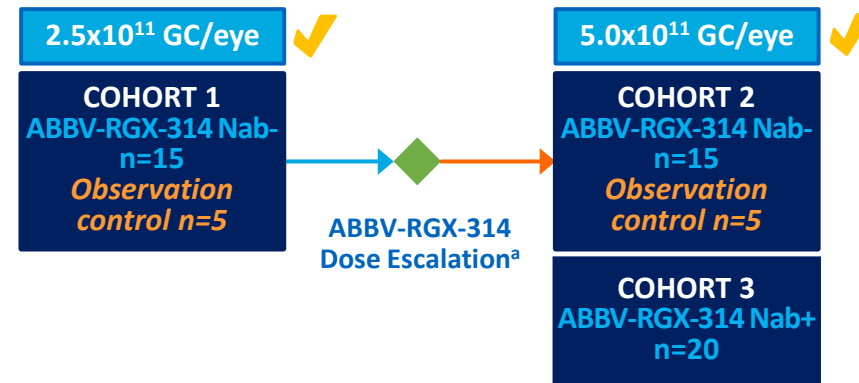
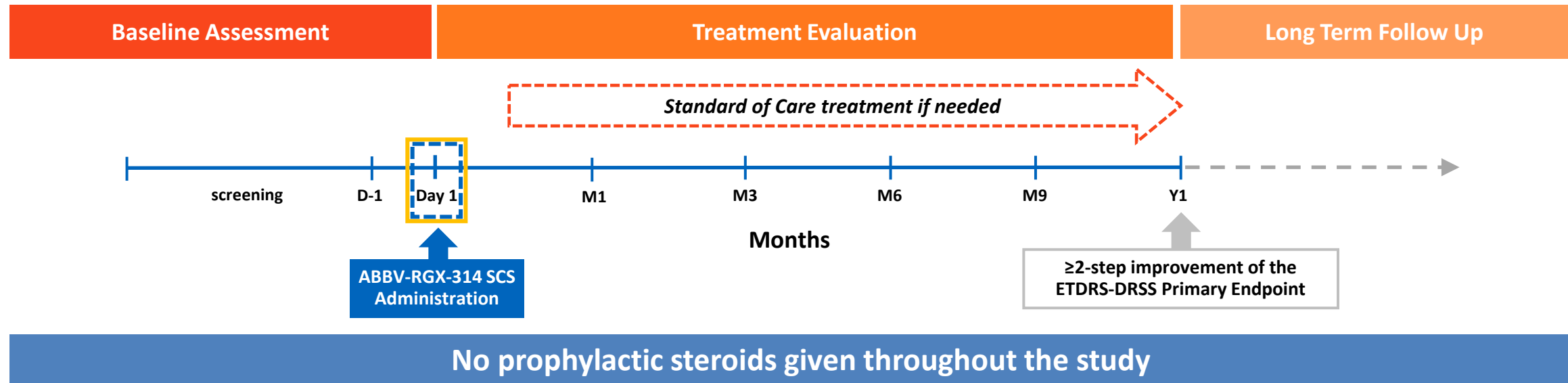


More efficient gene delivery to the RPE^a

Potential for long-term therapeutic anti-VEGF expression

a. Vandenberghe et al. 2011 *Science Translational Medicine*.
AAV: Adeno-Associated Virus.

ABBV-RGX-314 ALTITUDE[®] Study Design (N=60)



- ✓ Fully Enrolled
- ◆ IDMC Safety Review

a. Dose escalation safety review to occur two weeks after final subject in each cohort has been dosed.
 SCS: Suprachoroidal Space; Nab+ = AAV8 neutralizing antibody positive; Nab- = AAV8 neutralizing antibody negative/low; Y1 = 48 weeks.

ALTITUDE[®]: ABBV-RGX-314 Phase II Clinical Trial in Diabetic Retinopathy

Primary Objective

- Evaluate proportion of patients with ≥ 2 -step improvement in severity on the Diabetic Retinopathy Severity Scale (DRSS) at one year

Secondary Objectives

- Safety and tolerability of ABBV-RGX-314
- Development of DR-related ocular complications
- Need for additional standard of care interventions

Subjects: 60 patients enrolled in Cohorts 1-3

- 50 ABBV-RGX-314; 10 observation control
- 21 study sites across the United States

Route of Administration

- In-office SCS Microinjector[™] delivers ABBV-RGX-314 to the **suprachoroidal space**

Key Inclusion Criteria

- Male or female ≥ 25 to 89 years of age with DR secondary to diabetes mellitus Type 1 or Type 2
- **Moderately Severe NPDR, Severe NPDR, or Mild PDR (DRSS levels 47-61)**
- No active CI-DME, CST < 320 μm
- Vision of 20/40 or better (≥ 69 Early Treatment Diabetic Retinopathy Study [ETDRS] letters) in the study eye
- No anti-VEGF injection(s) in prior 6 months

ALTITUDE[®] Baseline Characteristics (Cohort 1–3)

Variable		Observational Control (N=10)	Cohort 1 Dose 1 (N=15)	Cohort 2 Dose 2 (N=15)	Cohort 3 Dose 2 (N=20)	Total (N=60)
BASELINE ^a	Mean Age (Years)	52.5	50.7	58.1	60.1	56.0
	Gender – Female	1 (10.0%)	9 (60.0%)	7 (46.7%)	8 (40.0%)	25 (41.7%)
	Hemoglobin A1c	7.7	8.2	8.5	8.2	8.2
	DR Category at Baseline					
	DRSS 47 (Moderately Severe NPDR)	8 (80.0%)	4 (26.7%) ^b	9 (60.0%)	12 (60.0%)	33 (55.0%)
	DRSS 53 (Severe NPDR)	0	2 (13.3%)	1 (6.7%)	2 (10.0%)	5 (8.3%)
	DRSS 61 (Mild PDR)	2 (20.0%)	8 (53.3%) ^b	5 (33.3%)	6 (30.0%)	21 (35.0%)
	DRSS 65 (Moderate PDR)	0	1 (6.7%) ^c	0	0	1 (1.7%)
	Screening BCVA (Snellen equivalents)	84.5	78.1	82.1	81.3	81.3
	Screening OCT CRT (μm)	275.4	259.5	272.4	274.4	270.4
DISEASE HISTORY	Lens Status – Phakic n (%)	9 (90.0%)	13 (86.7%)	10 (66.7%)	13 (65.0%)	45 (75.0%)
	Study Eye with anti-VEGF Injections in the Past 36-months n (%)	1 (10.0%)	5 (33.3%)	0	0	6 (10.0%)
	Months Since DR Diagnosis ^d – Mean	23.6	27.8	26.0	22.4 ^e	24.9

a. Ocular variables refer to study eye only.

b. During an interim central reading center masked adjudication, 1 patient had baseline DRSS updated from Grade 47 to Grade 61 since prior interim data release.

c. After randomization, central reading center DRSS was scored as Grade 65 on masked adjudication.

d. Calculation based on randomization date.

e. One patient is missing date of DR diagnosis and not included.

ALTITUDE® Interim Safety Summary

- **ABBV-RGX-314 was well-tolerated in Cohorts 1–3 (n=50)**
 - 5 SAEs: None considered drug-related
 - No cases of chorioretinal vasculitis or occlusion, or hypotony were observed

Cohorts 1 to 3: Common Ocular TEAEs^a and Intraocular Inflammation in the Study Eye through 6 Months	Cohort 1 Dose 1 NAb- (N=15)	Cohort 2 Dose 2 NAb- (N=15)	Cohort 3 Dose 2 NAb+ (N=20)	Total (N=50)
Conjunctival hyperemia	4 (26.7%)	5 (33.3%)	4 (20.0%)	13 (26.0%)
Conjunctival hemorrhage	3 (20.0%)	2 (13.3%)	1 (5.0%)	6 (12.0%)
Episcleritis^b	1 (6.7%)	1 (6.7%)	4 (20.0%)	6 (12.0%)
Intraocular Inflammation^c	0 (0.0%)	3 (20.0%)	0 (0.0%)	3 (6.0%)
		No meaningful differences based on baseline AAV8 NABs		

- **Stable BCVA through 6 Months in Cohorts 1-3 (n=50)**

Data cut: October 17, 2022.

a. Common TEAEs include AEs for total group ≥10% with onset up to 6m visit.

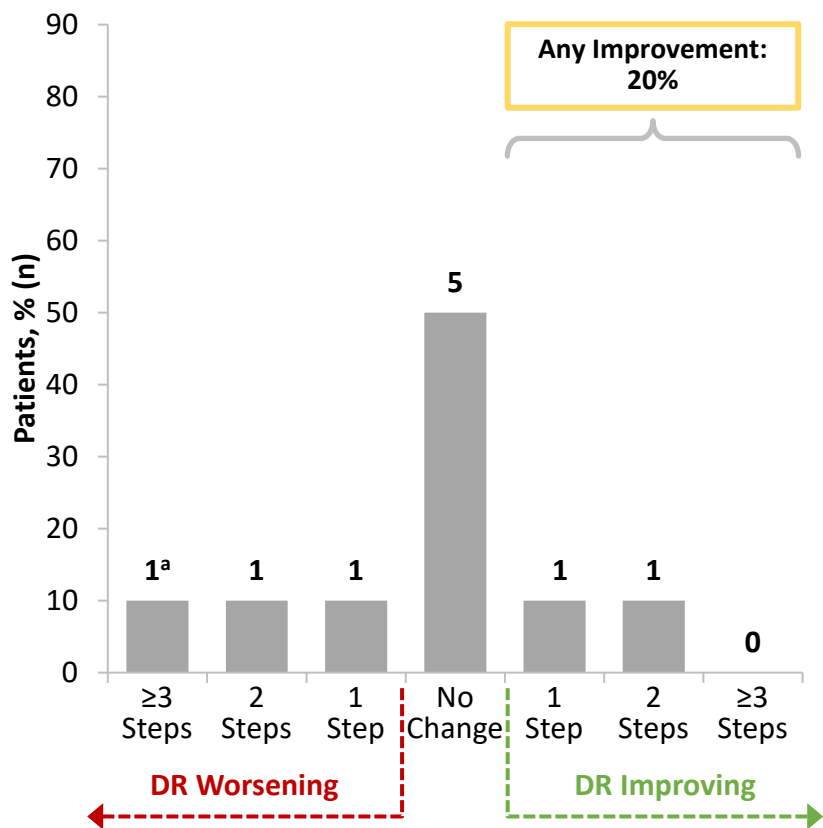
b. All cases were mild (grade 1) and are resolved or resolving on topical corticosteroids.

c. All cases were mild (range +0.5 to +1) and most presented 2-6 weeks post injection, predominantly as anterior cells on slit lamp examination. Resolved on topical corticosteroids.

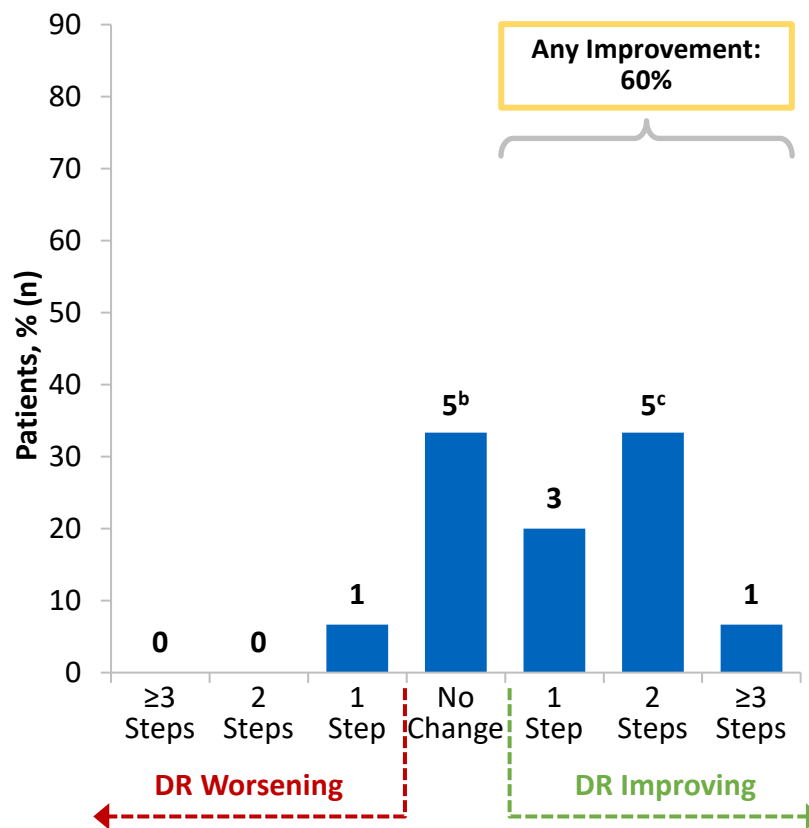
SAE: Serious Adverse Event; TEAE: Treatment Emergent Adverse Event.

Change in DRSS at Month 6 by Dose

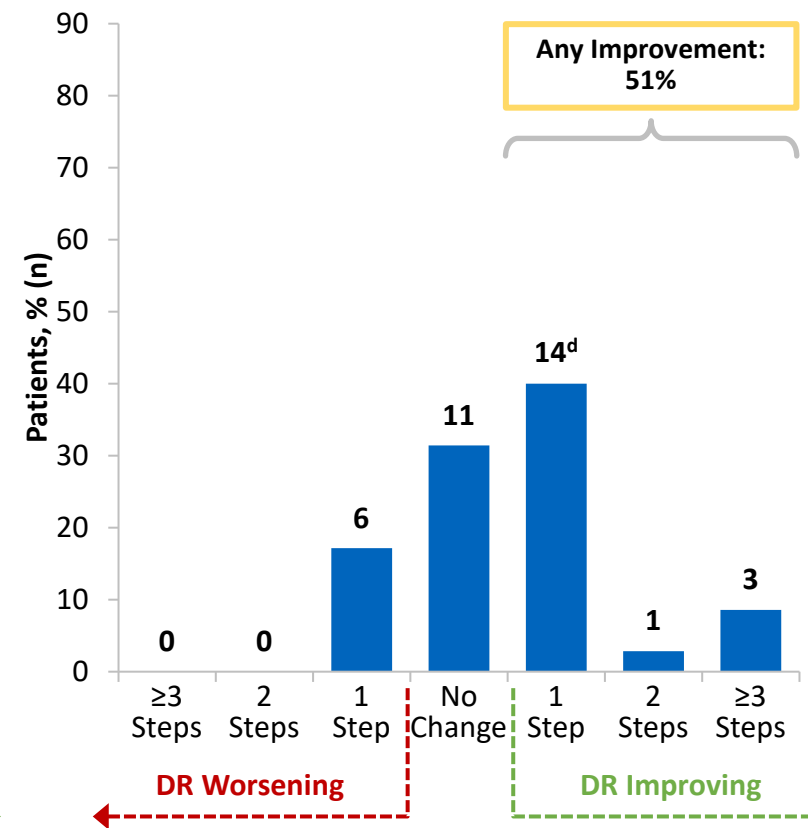
Control (n=10)



Dose 1 (Cohort 1) ABBV-RGX-314 (n=15)



Dose 2 (Cohorts 2 and 3) ABBV-RGX-314 (n=35)



Data cut: October 17, 2022.

a. One observation control patient received two Lucentis injections in the study eye for vitreous hemorrhage (4-step worsening to DRSS 71 [severe PDR] at 6 months).

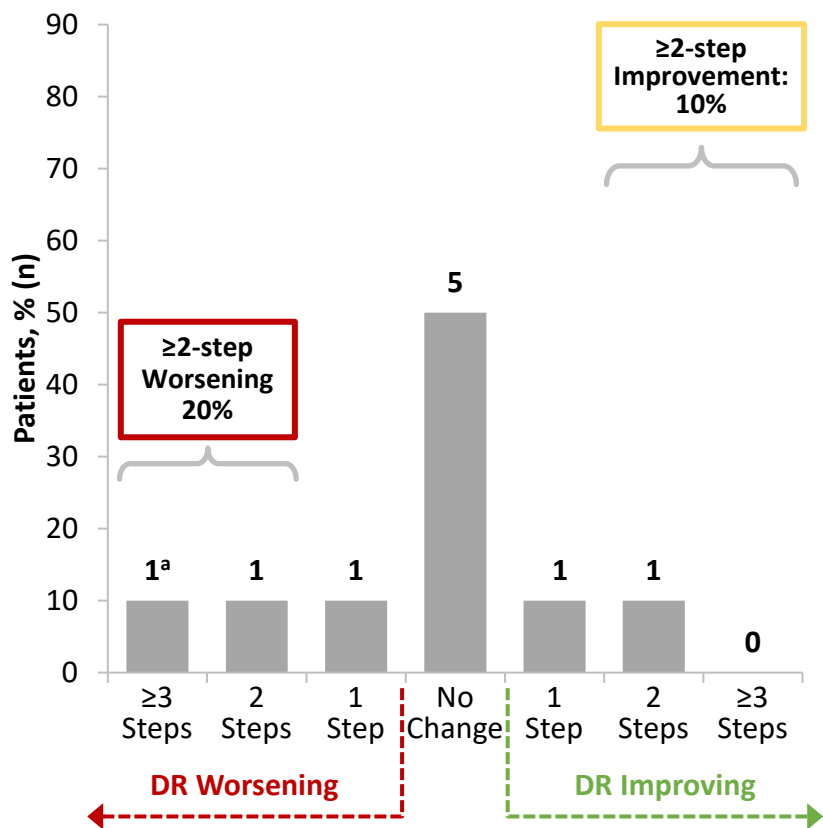
b. During an interim central reading center masked adjudication, 1 patient's DRSS grades at baseline and 6 months were updated from Grade 47 and Grade 35, respectively, to Grade 61 since prior interim data release.

c. One patient received a single Lucentis injection in the study eye (DRSS 61 at baseline) 8 days after ABBV-RGX-314 dosing for trace vitreous hemorrhage, which was 22 weeks prior to their 6 month visit when DRSS was assessed.

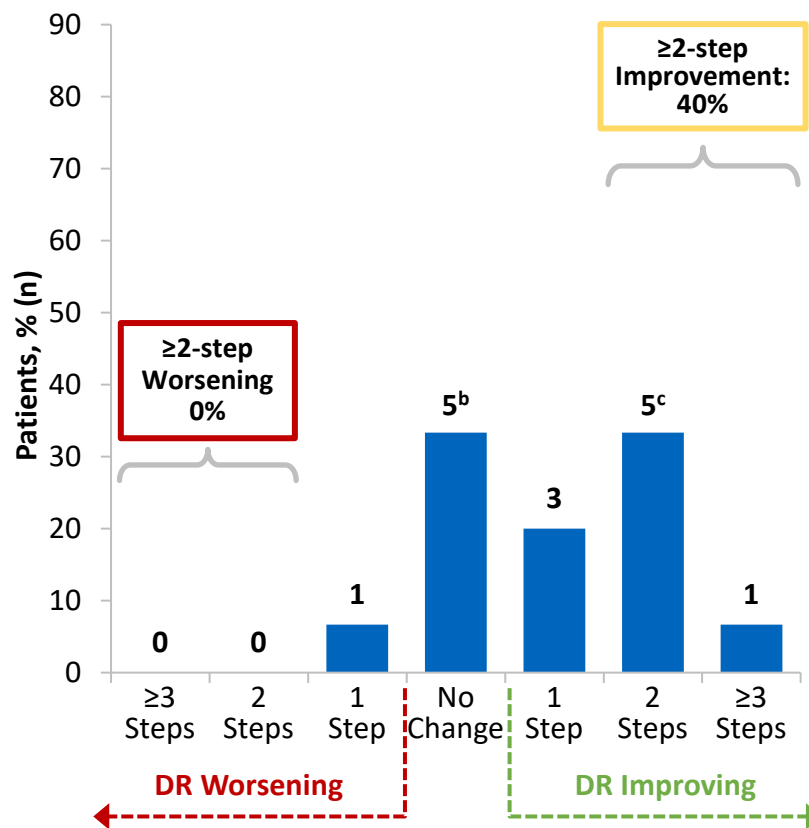
d. One patient missed their 6-month visit, so their 3-month results were used.

Change in DRSS at Month 6 by Dose

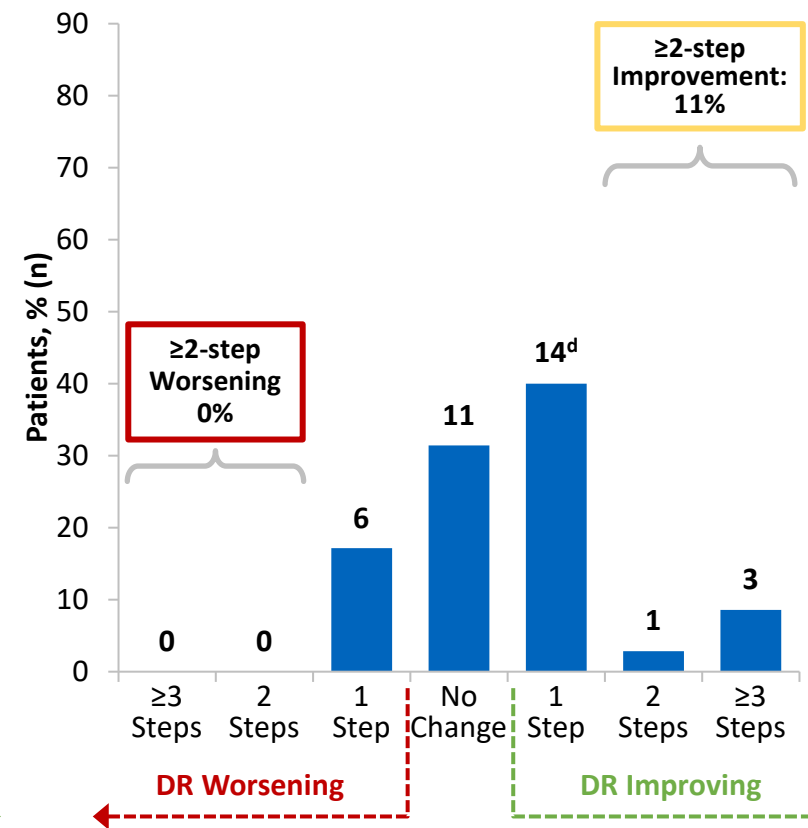
Control (n=10)



Dose 1 (Cohort 1)
ABBV-RGX-314 (n=15)



Dose 2 (Cohorts 2 and 3)
ABBV-RGX-314 (n=35)



Data cut: October 17, 2022.

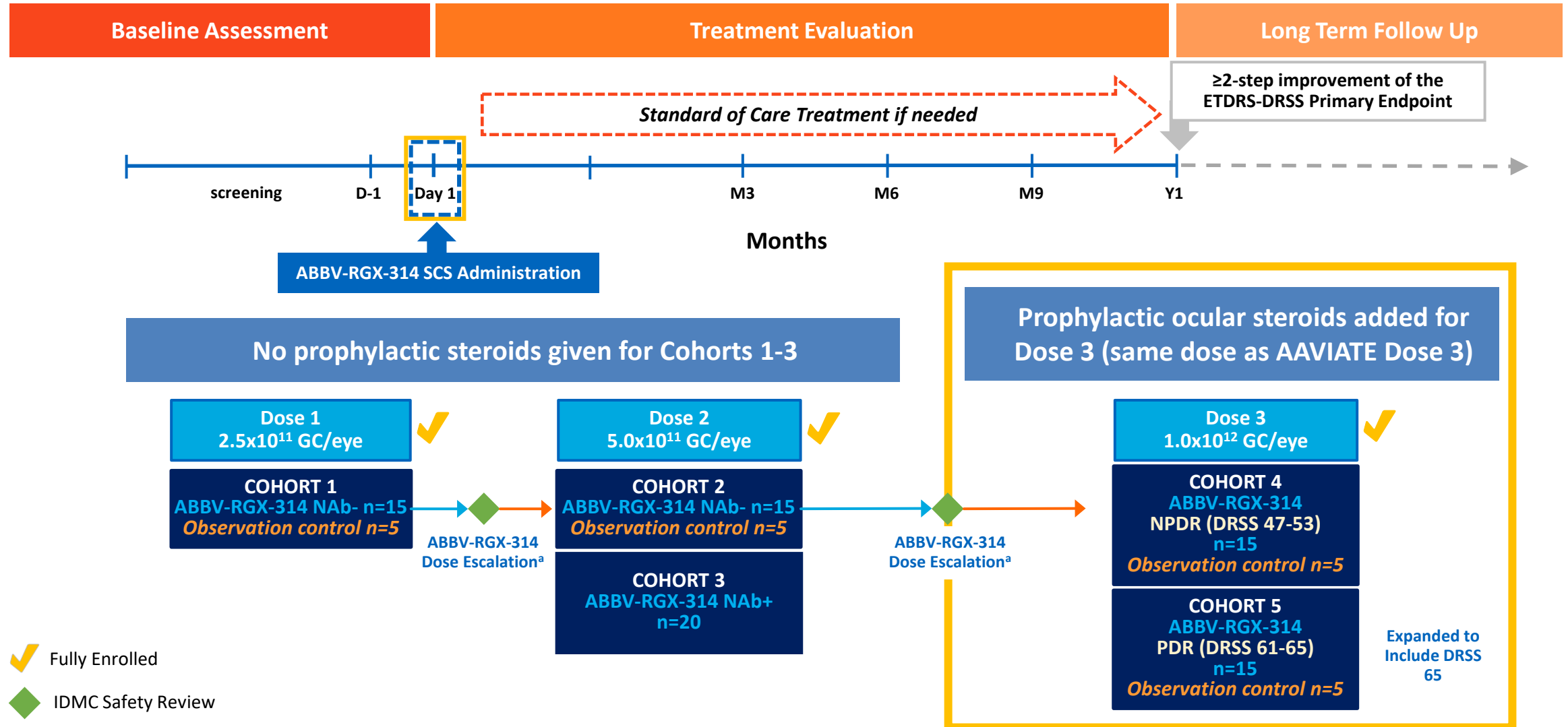
a. One observation control patient received two Lucentis injections in the study eye for vitreous hemorrhage (4-step worsening to DRSS 71 [severe PDR] at 6 months).

b. During an interim central reading center masked adjudication, 1 patient's DRSS grades at baseline and 6 months were updated from Grade 47 and Grade 35, respectively, to Grade 61 since prior interim data release.

c. One patient received a single Lucentis injection in the study eye (DRSS 61 at baseline) 8 days after ABBV-RGX-314 dosing for trace vitreous hemorrhage, which was 22 weeks prior to their 6 month visit when DRSS was assessed.

d. One patient missed their 6-month visit, so their 3-month results were used.

ABBV-RGX-314 ALTITUDE® Study Design with Addition of Dose Level 3 (N=100)



a. Dose escalation safety review to occur two weeks after final subject in each cohort has been dosed.

SCS: Suprachoroidal Space; NAb+ = AAV8 neutralizing antibody positive; NAb- = AAV8 neutralizing antibody negative/low; Y1 = 48 weeks; NPDR: Non-proliferative Diabetic Retinopathy; PDR: Proliferative Diabetic Retinopathy

ALTITUDE® Dose Level 3 with Short-Course Prophylactic Topical Steroids: Interim Safety

ABBV-RGX-314 has been well-tolerated in Dose Level 3 for ALTITUDE (n=29)

- No study drug-related SAEs
- No cases of chorioretinitis, vasculitis, occlusion, or hypotony

	w/PPX 11–24 Weeks Follow-up
Common Ocular TEAEs ¹ in the Study Eye	ALTITUDE: C4-C5 Dose Level 3 (N=29)
Episcleritis ²	7 (24.1%)
Conjunctival Hemorrhage	5 (17.2%)
Intraocular Pressure Increased ³	3 (10.3%)
Conjunctival Hyperemia	1 (3.4%)
Intraocular Inflammation	0 (0.0%)

Data cut: June 12, 2023.

1. Includes AEs ≥10% of the total groups. Total group is defined from combined DL3 group for AAVIATE and ALTITUDE (N=84).

2. All mild to moderate (grade 1 and 2), presented within 1 week to 24 weeks post injection and have resolved or are tapering off topical corticosteroids.

3. Intraocular pressure increased and ocular hypertension have been combined into one group. All mild to moderate and all controlled.

ALTITUDE® Cohorts 4 & 5 with Short-Course Prophylactic Topical Steroids: IOI (AC Cells, AC Flare, Vit Cells, Vit Haze)

ABBV-RGX-314



		SUBJECT	Dosing	D2	W1	W2	W4	W6	W8	W10	W12	W14	W16	W18	W20	W22	W24	
Topical Steroids	Cohort 4	●..... Topical Steroid Drops (7 weeks)																
	Patient 1			0	0		0				0							0
	Patient 2			0	0		0				–							0
	Patient 3			0	0		0				0							0
	Patient 4			0	0		0				0							0
	Patient 5			0	0		0				0							0
	Patient 6			0	0		0				0							0
	Patient 7			0	0		0				0							0
	Patient 8			0	0		0				0							0
	Patient 9			0	0		0				0							0
	Patient 10			0	0		0				0							0
	Patient 11			0	0		0				0							0
	Patient 12			0	0		0				0							0
	Patient 13			–	0		0				0							0
	Patient 14			0	0		0				0							0
Patient 15			0	0		0				0							0	
Topical Steroids	Cohort 5	●..... Topical Steroid Drops (7 weeks)																
	Patient 1			0	0		0				0							0
	Patient 2			0	0		0				0							0
	Patient 3			0	0		0				0							0
	Patient 4			0	0		0				0							0
	Patient 5			–	0		0				0							0
	Patient 6			0	0		0				0							0
	Patient 7			0	0		0				0							0
	Patient 8			–	0		0				0							0
	Patient 9			0	0		0				0							0
	Patient 10			0	0		0				0							0
	Patient 11			0	0		0				0							0
	Patient 12			0	0		0				0							0
	Patient 13			0	0		0				0							0
Patient 14			0	0		0				0							0	

Timepoints are post-dosing.

IOI: Intraocular Inflammation; AC: anterior chamber; Vit: vitreous chamber

Data cut: 12 Jun 23.

Summary of 6 Month Results from the Phase II ALTITUDE DR Study

- Suprachoroidal ABBV-RGX-314 continues to be **well-tolerated in Cohorts 1-3 (Dose 1: 2.5×10^{11} GC/eye; n=15 and Dose 2: 5.0×10^{11} GC/eye; n=35)**
- **Safety of Cohort 1-3 (Dose 1 and Dose 2)**
 - A few cases of mild intraocular inflammation were observed; resolved with topical corticosteroids
 - No prophylactic corticosteroids administered
 - No meaningful differences in patient outcomes with and without baseline AAV8 NABs
- **Effect of Cohort 1-3 (Dose 1 and Dose 2)**
 - With a **single** injection of ABBV-RGX-314 at Dose 1 & 2, **patients demonstrate clinically meaningful improvements in disease severity and less disease worsening**
 - 20% (D1: 40%; D2: 11%) achieved a ≥ 2 -step improvement vs. 10% in control
 - 54% (D1: 60%; D2: 51%) achieved any DRSS improvement vs. 20% in control
 - 0% (D1: 0%; D2: 0%) worsened ≥ 2 steps vs. 20% in control



Video: D. Dhoot

A one time, in-office injection of ABBV-RGX-314 gene therapy could potentially improve DR severity and reduce risk of vision threatening complications

Cohorts 4 and 5 (Dose 3: 1.0×10^{12} GC/eye; n=29) initial safety results: zero cases of IOI with short-course prophylactic topical steroids¹